

# COMMONLY REQUESTED INFORMATION

Revised Nov. 11, 10

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The following most commonly requested information is provided to assist you in completing application cover sheets and budgets. For additional information, contact the Office of Sponsored Projects Administration (216-368-4510 or [resadm@case.edu](mailto:resadm@case.edu)). Compliance questions should be directed to 216-368-6925 or [mx4@cwru.edu](mailto:mx4@cwru.edu). Both offices are on the 6th floor of Sears Library, between Nord and Wickenden. Corrections to this document: [Diane.Domanovics@case.edu](mailto:Diane.Domanovics@case.edu)

## Applicant Name & Address

<b>All Schools &amp; Colleges, except Med School</b>	<b>Medical School Only</b>
Case Western Reserve University 10900 Euclid Avenue Cleveland, OH 44106-7015	School of Medicine (SOM) Case Western Reserve University 10900 Euclid Avenue Cleveland, OH 44106-4919

Dallas, TX 75202  
(214) 767-3313 Phone. (214) 767-3264 FAX.  
[Denise.Shirlee@psc.hhs.gov](mailto:Denise.Shirlee@psc.hhs.gov)

## Numbers

CAGE (Commercial and Government Entity) Code	4B566
CAS Certification Date	8/10/96
CEC (Contractor Establishment Code)	07-876-850E
DUNS (Data Universal Numbering System)	07-775-8407
Federal Indirect Cost Rate Agreement Approval Date	<b>4/26/2010</b>
(See rates below)	
FICE (Federal Interagency Committee on Education)	0003024
IPF (Institutional Profile Number)	0218601
IRS (Taxpayer ID Number (TIN) / EIN, dated 7/1/67)	34-1018992
NAICS (North American Industry Classification System)	611310
NSF (National Science Foundation) ID (Case)	0001024000
NSF (National Science Foundation) ID (SOM)	0001024010
OH Dept of Education IRN (Information Retrieval Number)	063628
PHS Entity Identification Number	1341018992A1

## Assurance / Compliance Registration Numbers

Type	Assurance Number	IRB Registration Number	Approved	Expires
Animal	A3145-01	n/a	04/12/07	4/30/11
Misconduct	n/a	n/a	03/01/07	4/30/11
Human Subjects FWA*	FWA00004428	IRB00000683	03/27/03	3/2/13
Case Cancer IRB*	FWA00004428	IRB00004924		
MetroHealth IRB*		IRB00000685		
UHCMC IRB*		IRB00000684 IRB00001691		
VA IRB		IRB00000686 <a href="#">Use is limited</a>		
CCF IRB*		IRB00000536		

\*For some proposals, one may need to use one institution's FWA # with another institution's IRB #: e.g., a grant awarded to Case, for research conducted at UH, would use Case's FWA#, but UH's IRB#.

## Authorized Institutional Representatives\*

Leave blank on applications! Signatories' availability may vary day to day.

Special focus	Staff Member	Title	Office
General	Diane Domanovics**	Assistant Vice President for Research	
All but SOM	Derek M. Humphrey*	Asst. Director	OSPA
All but SOM	Carol Schwendeman*	Asst. Director	OSPA
Med School	Robin L. Bissell***	Director	SOM
Compliance	Kimberly Volarcik****	Exec Director	ORC

\*Auth. to sign proposals, certifications, & to accept awards for univ.

\*\*Authorized to sign the above documents + contracts for university

\*\*\*Authorized to sign all of the above, for Medical School

\*\*\*\*Authorized to sign compliance documents for university

## Case Characteristics:

For current statistics about enrollment, faculty, staff, budget, etc., go to URL <http://www.cwru.edu/president/cir/glance.htm>

## Districts:

Congressional:	Campus, UH & CCLCM	11th
	MHMC	10th
	House	10th
State:	Senate	21st

## Cognizant Federal Agency

Dept of Health & Human Services (DHHS)  
Denise Shirlee, HHS Representative  
1301 Young Street, Room 732

## Rates

Overhead Rates, aka, Indirect Cost Rates (F & A) - Research\*

	7/01/2005 - 6/30/2008	7/01/2008 - 6/30/2012
On Campus	54.5%	57%
CCLCM	54.5%	57%
MHMC	54.5%	57%
UH	54.5%	57%
Off Campus	26%	

\*The most recent rate agreement was approved on **April 26, 2010**. Unless otherwise noted in the sponsor guidelines, indirect costs are in addition to total direct costs and calculated based on Modified Total Direct Costs (MTDC). MTDC equals total direct costs less the following exclusions: capital expenditures; any amount over the first \$25,000 of each subcontract (aka, subrecipient agreement) issued by Case\*\*; hospitalization and other fees associated with patient care whether the services are obtained from an owned, related or third party hospital or other medical facility; rental/maintenance of off-site activities; student tuition remission and student support costs (e.g., student aid, stipends, dependency allowances, scholarships, fellowships). As of 7/01/92, Hazardous Waste is no longer chargeable as a Direct Cost. \*\*Note: only the 1<sup>st</sup> \$25K of subrecipient agreements issued by Case is included in the MTDC calculation.

## OVERHEAD RATES - TRAINING

All locations are 8%. General rule: Basis is Total Direct Cost (TDC) less tuition, fees, & equipment. However, exclusions may vary among sponsors. Check specific sponsor guidelines.

## FRINGE RATE FOR ALL LOCATIONS

(excluding 1410's, 1225's, and 1226's effective 7/1/78)

FY08 (July 1, '07 – June 30, '08)	Federal – 26.5%	Non-Federal – 29.5%
FY09 (July 1, '08 – June 30, '09)	Federal – 23%	Non-Federal – 28%
FY10 (July 1, '09-June 30, '10)	Federal – 22.5%	Non-Federal – 28.5%
FY11 (July 1, '10-June 30, '11)	Federal – 25%	Non-Federal – 29.5%

*For budgeting purposes, increase fringe rates by 0.5% for each budget period after FY08.*

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**Ohio Board of Regents**

Harry Andrist - Director, Research & Graduate Programs (614) 752-9539

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**Ohio Office of Budget & Management**

Office of Budget and Management (614) 466-4034  
30 East Broad Street, 34th Floor  
Columbus, Ohio 43215-3457